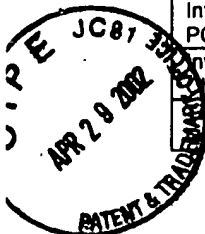


2633

#10



TRANSMITTAL LETTER					Cas No. 56/346
Int'l Pat. App. No. PCT/EP99/04972	U.S. Serial No. 09/744,871	Int'l Filing Date July 14, 1999	U.S. Filing Date January 29, 2001	Examiner unassigned	Group Art Unit 2633
Inventor(s) Christian Eisenberger et al.					
Title of Invention OPTOELECTRONIC TRANSCEIVER					

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TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Supplemental Information Disclosure Statement and copy of one (1) cited reference; Form 1449;  
and post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x \$42	
+\$140=	
Total add'l fee	\$

Other Than Small Entity Technological Center 2500	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*John C. Freeman*

John C. Freeman  
Registration No. 34,483  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: The Commissioner for Patents, Washington, D.C. 20231, on April 16, 2002.

Date: April 16, 2002 Signature: *John C. Freeman*

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D.C. 20231 on April 16, 2002

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Technology Center 2600

Name of Registered Representative:

John C. Freeman  
John C. Freeman, Reg. No. 34,483

April 16, 2002  
Date of Signature

PATENT  
CASE NO. 56/346

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application:

Christian Eisenberger et al.

International Application  
No. PCT/EP99/04972

International Filing  
Date: July 14, 1999

Serial No.: 09/744,871

Filed: January 29, 2001

For: OPTOELECTRONIC TRANSCEIVER)

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) Group Art Unit: 2633

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) Examiner: unassigned

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in  
conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following application for  
consideration by the Examiner. A copy of the application is enclosed along with a completed  
copy of Form PTO-1449.

**I. DISCLOSURE**

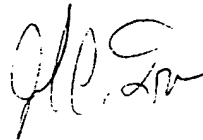
**A. Pending Dr. Johannes Heidenhain GmbH U.S. Patent Applications**

<u>Application No.</u>	<u>Inventor</u>	<u>Filing Date</u>
09/646,801	Franz et al.	09/22/00

**II. CONCLUSION**

It is not believed that this application, alone or in combination, discloses or suggests the invention claimed. However, Applicants wish to make it clear that the disclosure of the above application is in no way an admission that it qualifies as prior art. It is Applicants' desire, however, to have this application available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review the entire disclosure of the application and make the above-listed application of record. Applicants also invite the Examiner to review the art cited in the application. A copy of the application is enclosed.

Respectfully submitted,



John C. Freeman  
Registration No. 34,483  
Attorney for Applicants

BRINKS HOFER  
GILSON & LIONE  
P.O. Box 10395  
Chicago, Illinois 60610  
(312) 321-4200

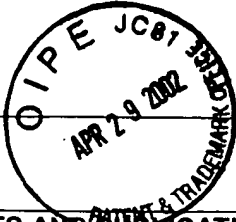
Dated: April 16, 2002

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FORM PTO-1449	INT'L PAT. APP. NO.: PCT/EP99/04972	CASE NO. 56/346
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	U.S. SERIAL NO.: 09/744,871	GROUP ART UNIT unassigned
	INT'L FILING DATE: July 14, 1999	
	U.S. FILING DATE: January 29, 2001	
APPLICANT(S): Christian Eisenberger et al.		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
A1	Pending Patent Application Assigned to Dr. Johannes Heidenhain GmbH: Serial No.: 09/646,801, Filing Date: 09/22/00, Inventor: Franz et al.	

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.